

## Form 604

Corporations Act 2001

Section 671B

### Notice of change of interests of substantial holder

To Company Name/Scheme AUB Group Ltd (AUB)

ACN/ARSN 000 000 715

#### 1. Details of substantial holder (1)

Name Regal Funds Management Pty Ltd (RFM)

ACN/ARSN (if applicable) 107 576 821

There was a change in the interests of the substantial holder on

21/06/2019

The previous notice was given to the company on

7/12/2018

The previous notice was dated

7/12/2018

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Current notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORDINARY	5,087,090	6.94%	4,156,481	5.65%

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
	See Annexure 1		

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
UBS AG, AUSTRALIA BRANCH	UBS NOMINEES PTY LTD	UBS AG, AUSTRALIA BRANCH	HOLDER OF SHARES	2,399,670 ORDINARY	2,399,670
MORGAN STANLEY & CO INTL PLC	HSBC CUSTODY NOMINEES (AUSTRALIA) LIMITED A/C 2	MORGAN STANLEY & CO INTL PLC	HOLDER OF SHARES	65,868 ORDINARY	65,868
MERRILL LYNCH INTERNATIONAL LIMITED	MERRILL LYNCH (AUS) NOMINEES PTY LTD	MERRILL LYNCH INTERNATIONAL LIMITED	HOLDER OF SHARES	532,683 ORDINARY	532,683
CREDIT SUISSE SECURITIES EUROPE LTD	CREDIT SUISSE SECURITIES EUROPE LTD	CREDIT SUISSE SECURITIES EUROPE LTD	HOLDER OF SHARES	1,158,260 ORDINARY	1,158,260

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
NOT APPLICABLE	

## 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
PHILIP KING	LEVEL 47 GATEWAY 1 MACQUARIE PLACE SYDNEY NSW 2000

## Signature

print name Philip King capacity Director

sign here  date 25/06/2019

## Annexure 1

### Changes in relevant interests

Date	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities affected	Class of securities	Persons votes affected
7/12/2018	Regal Funds Management	Sell	24399.01	1982	Ordinary	1982
10/12/2018	Regal Funds Management	Sell	22970.35	1903	Ordinary	1903
10/12/2018	Regal Funds Management	Sell	21606.37	1790	Ordinary	1790
10/12/2018	Regal Funds Management	Sell	242000.00	20000	Ordinary	20000
12/12/2018	Regal Funds Management	Buy	9271.96	756	Ordinary	756
13/12/2018	Regal Funds Management	Sell	494000.00	40000	Ordinary	40000
19/12/2018	Regal Funds Management	Buy	32810.15	2735	Ordinary	2735
19/12/2018	Regal Funds Management	Buy	3179.05	265	Ordinary	265
19/12/2018	Regal Funds Management	Buy	81966.89	6794	Ordinary	6794
19/12/2018	Regal Funds Management	Buy	123131.31	10206	Ordinary	10206
19/12/2018	Regal Funds Management	Sell	300.00	25	Ordinary	25
20/12/2018	Regal Funds Management	Buy	24759.90	2050	Ordinary	2050
8/01/2019	Regal Funds Management	Buy	8543.68	696	Ordinary	696
14/01/2019	Regal Funds Management	Buy	407.26	33	Ordinary	33
17/01/2019	Regal Funds Management	Sell	632500.00	50000	Ordinary	50000
17/01/2019	Regal Funds Management	Sell	767804.40	60696	Ordinary	60696
17/01/2019	Regal Funds Management	Sell	142325.15	11251	Ordinary	11251
17/01/2019	Regal Funds Management	Sell	268799.85	21249	Ordinary	21249
24/01/2019	Regal Funds Management	Buy	9876.16	819	Ordinary	819
31/01/2019	Regal Funds Management	Buy	31110.72	2641	Ordinary	2641
31/01/2019	Regal Funds Management	Buy	66373.30	5655	Ordinary	5655
31/01/2019	Regal Funds Management	Sell	288398.97	24435	Ordinary	24435

31/01/2019	Regal Funds Management	Sell	61928.14	5269	Ordinary	5269
1/02/2019	Regal Funds Management	Sell	71691.00	6000	Ordinary	6000
4/02/2019	Regal Funds Management	Buy	61478.45	5164	Ordinary	5164
4/02/2019	Regal Funds Management	Sell	14742.48	1238	Ordinary	1238
5/02/2019	Regal Funds Management	Buy	4013.95	332	Ordinary	332
5/02/2019	Regal Funds Management	Sell	1519977.15	125101	Ordinary	125101
6/02/2019	Regal Funds Management	Sell	386026.56	30984	Ordinary	30984
7/02/2019	Regal Funds Management	Sell	184500.00	15000	Ordinary	15000
7/02/2019	Regal Funds Management	Sell	246852.00	20000	Ordinary	20000
7/02/2019	Regal Funds Management	Sell	271995.56	22180	Ordinary	22180
7/02/2019	Regal Funds Management	Sell	18370.12	1498	Ordinary	1498
8/02/2019	Regal Funds Management	Sell	13712.72	1118	Ordinary	1118
11/02/2019	Regal Funds Management	Buy	122836.50	10110	Ordinary	10110
11/02/2019	Regal Funds Management	Sell	147360.00	12000	Ordinary	12000
11/02/2019	Regal Funds Management	Sell	223207.88	18152	Ordinary	18152
11/02/2019	Regal Funds Management	Sell	51387.49	4179	Ordinary	4179
12/02/2019	Regal Funds Management	Buy	491115.15	40421	Ordinary	40421
12/02/2019	Regal Funds Management	Buy	559799.10	46074	Ordinary	46074
12/02/2019	Regal Funds Management	Buy	256571.55	21117	Ordinary	21117
12/02/2019	Regal Funds Management	Sell	6828.66	546	Ordinary	546
12/02/2019	Regal Funds Management	Sell	18022.15	1441	Ordinary	1441
13/02/2019	Regal Funds Management	Buy	1598272.20	126847	Ordinary	126847
13/02/2019	Regal Funds Management	Buy	1215471.60	96466	Ordinary	96466
13/02/2019	Regal Funds Management	Buy	1215459.00	96465	Ordinary	96465
13/02/2019	Regal Funds Management	Sell	51302.03	3988	Ordinary	3988
13/02/2019	Regal Funds Management	Sell	24081.60	1872	Ordinary	1872
14/02/2019	Regal Funds Management	Sell	49449.53	3707	Ordinary	3707
14/02/2019	Regal Funds Management	Sell	69552.15	5214	Ordinary	5214
14/02/2019	Regal Funds Management	Sell	84379.39	6224	Ordinary	6224
14/02/2019	Regal Funds Management	Buy	1295194.58	96020	Ordinary	96020
14/02/2019	Regal Funds Management	Buy	1203619.11	89231	Ordinary	89231
14/02/2019	Regal Funds Management	Buy	837681.46	62102	Ordinary	62102
15/02/2019	Regal Funds Management	Buy	144132.92	10639	Ordinary	10639
15/02/2019	Regal Funds Management	Sell	135281.00	10000	Ordinary	10000
15/02/2019	Regal Funds Management	Sell	20562.85	1519	Ordinary	1519
18/02/2019	Regal Funds Management	Buy	215573.99	16319	Ordinary	16319
18/02/2019	Regal Funds Management	Buy	254133.98	19238	Ordinary	19238
19/02/2019	Regal Funds Management	Buy	618718.20	46173	Ordinary	46173
19/02/2019	Regal Funds Management	Buy	309352.40	23086	Ordinary	23086
19/02/2019	Regal Funds Management	Buy	441074.40	32916	Ordinary	32916
19/02/2019	Regal Funds Management	Buy	509103.63	38178	Ordinary	38178
20/02/2019	Regal Funds Management	Buy	493957.20	37421	Ordinary	37421
20/02/2019	Regal Funds Management	Buy	102273.60	7748	Ordinary	7748
21/02/2019	Regal Funds Management	Buy	220480.00	16960	Ordinary	16960
21/02/2019	Regal Funds Management	Buy	249899.00	19223	Ordinary	19223
22/02/2019	Regal Funds Management	Sell	237472.97	18334	Ordinary	18334
25/02/2019	Regal Funds Management	Sell	11644.97	904	Ordinary	904
26/02/2019	Regal Funds Management	Buy	192.22	16	Ordinary	16

27/02/2019	Regal Funds Management	Buy	25022.88	2034	Ordinary	2034
27/02/2019	Regal Funds Management	Buy	75093.24	6104	Ordinary	6104
27/02/2019	Regal Funds Management	Buy	75093.24	6104	Ordinary	6104
27/02/2019	Regal Funds Management	Buy	75105.54	6105	Ordinary	6105
28/02/2019	Regal Funds Management	Sell	47515.65	3835	Ordinary	3835
28/02/2019	Regal Funds Management	Sell	50737.05	4095	Ordinary	4095
4/03/2019	Regal Funds Management	Buy	104912.50	8393	Ordinary	8393
5/03/2019	Regal Funds Management	Buy	7568.25	592	Ordinary	592
5/03/2019	Regal Funds Management	Buy	22717.52	1777	Ordinary	1777
5/03/2019	Regal Funds Management	Buy	22717.52	1777	Ordinary	1777
5/03/2019	Regal Funds Management	Buy	22743.09	1779	Ordinary	1779
5/03/2019	Regal Funds Management	Buy	31331.55	2440	Ordinary	2440
6/03/2019	Regal Funds Management	Buy	28654.59	2268	Ordinary	2268
6/03/2019	Regal Funds Management	Buy	39116.00	3080	Ordinary	3080
13/03/2019	Regal Funds Management	Sell	77539.20	6000	Ordinary	6000
15/03/2019	Regal Funds Management	Buy	339991.22	26158	Ordinary	26158
15/03/2019	Regal Funds Management	Sell	151463.00	11651	Ordinary	11651
15/03/2019	Regal Funds Management	Sell	683228.00	52556	Ordinary	52556
18/03/2019	Regal Funds Management	Sell	554861.47	42340	Ordinary	42340
18/03/2019	Regal Funds Management	Sell	1205781.85	92010	Ordinary	92010
18/03/2019	Regal Funds Management	Sell	1468325.42	112044	Ordinary	112044
20/03/2019	Regal Funds Management	Sell	52606.39	3967	Ordinary	3967
29/03/2019	Regal Funds Management	Sell	104688.00	8000	Ordinary	8000
18/04/2019	Regal Funds Management	Buy	181425.00	14514	Ordinary	14514
24/04/2019	Regal Funds Management	Sell	12657.40	1000	Ordinary	1000
7/05/2019	Regal Funds Management	Sell	6968.55	551	Ordinary	551
8/05/2019	Regal Funds Management	Sell	2885249.50	227185	Ordinary	227185
8/05/2019	Regal Funds Management	Sell	11350.53	901	Ordinary	901
13/05/2019	Regal Funds Management	Buy	3663.47	297	Ordinary	297
13/05/2019	Regal Funds Management	Buy	4606.76	375	Ordinary	375
20/05/2019	Regal Funds Management	Sell	927750.00	75000	Ordinary	75000
31/05/2019	Regal Funds Management	Sell	98555.49	8417	Ordinary	8417
6/06/2019	Regal Funds Management	Sell	6792000.00	600000	Ordinary	600000
6/06/2019	Regal Funds Management	Buy	2264000.00	200000	Ordinary	200000
6/06/2019	Regal Funds Management	Buy	3396000.00	300000	Ordinary	300000
12/06/2019	Regal Funds Management	Sell	531750.00	50000	Ordinary	50000
12/06/2019	Regal Funds Management	Sell	1690490.39	160417	Ordinary	160417
12/06/2019	Regal Funds Management	Sell	106677.00	10000	Ordinary	10000
13/06/2019	Regal Funds Management	Sell	265750.00	25000	Ordinary	25000
20/06/2019	Regal Funds Management	Buy	149114.80	13196	Ordinary	13196
20/06/2019	Regal Funds Management	Buy	895683.20	79264	Ordinary	79264
21/06/2019	Regal Funds Management	Buy	296205.91	25630	Ordinary	25630
21/06/2019	Regal Funds Management	Buy	1784054.09	154370	Ordinary	154370
21/06/2019	Regal Funds Management	Sell	3563501.44	313799	Ordinary	313799
21/06/2019	Regal Funds Management	Sell	400037.81	35227	Ordinary	35227
21/06/2019	Regal Funds Management	Sell	2543607.73	223988	Ordinary	223988
21/06/2019	Regal Funds Management	Sell	1849600.00	160000	Ordinary	160000