



Investment  
Management

20 April 2018

Company Announcements Platform  
Australian Securities Exchange Limited  
20 Bridge Street  
SYDNEY NSW 2000

Dear Sir/Madam,

**Notice of change of interests of substantial holder**

In accordance with section 671B of the Corporations Act 2001 (Cth), we attach a Notice of Change of Interests of Substantial Holder (Form 604) in respect of AUB Group Limited (AUB).

Yours faithfully,

A handwritten signature in black ink, appearing to read 'Joanne Hawkins'.

Joanne Hawkins  
Company Secretary

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BT Investment Management Limited  
ABN 28 126 385 822

GPO Box 7072  
Sydney NSW 2001  
Australia

The Chifley Tower  
Level 15, 2 Chifley Square  
Sydney NSW 2000  
Australia  
Tel 612 9220 2000

[www.btim.com.au](http://www.btim.com.au)

## Form 604

Corporations Act 2001  
Section 671B

### Notice of change of interests of substantial holder

To Company Name/Scheme AUB GROUP LIMITED (AUB)

ACN/ARSN ACN 000 000 715

#### 1. Details of substantial holder(1)

Name BT INVESTMENT MANAGEMENT LIMITED (BTT)

ACN/ARSN (if applicable) ACN 126 385 822

There was a change in the interests of the substantial holder on 18/04/2018

The previous notice was given to the company on 30/08/2017

The previous notice was dated 28/08/2017

#### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice in the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's votes	Voting Power (5)	Person's votes	Voting Power (5)
ORDINARY SHARES	3,842,271	6.02%	4,482,008	7.02%

#### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
18/04/2018	BTT & its Associates, BT Investment Management (Fund Services) Limited, BT Investment Management (Institutional) Limited	See Annexure 'A'	See Annexure 'A'	See Annexure 'A'	See Annexure 'A'

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and Number of securities	Person's votes
BT Investment Management (Fund Services) Limited *	HSBC Custody Nominees (Australia) Limited	HSBC Custody Nominees (Australia) Limited.	BT Investment Management (Fund Services) Limited is the trustee or responsible entity of various managed investment schemes and, in that capacity, can exercise the power to vote or dispose of the shares.	2,520,790 Ordinary	2,520,790
BT Investment Management (Institutional) Limited	Australia and New Zealand Banking Group  HSBC Custody Nominees (Australia) Limited.  State Street Australia Limited	Australia and New Zealand Banking Group  HSBC Custody Nominees (Australia) Limited.  State Street Australia Limited	BT Investment Management (Institutional) Limited is the investment manager appointed by various superannuation funds, institutional investors and unit trusts and, in that capacity, can exercise the power to vote or dispose of the shares..	1,961,218 Ordinary	1,961,218

\* BT Investment Management (Fund Services) Limited has appointed BT Investment Management (Institutional) Limited to act as the investment manager of the trusts of which it is responsible entity, giving BT Investment Management (Institutional) Limited the capacity to exercise the power to vote or dispose of the shares. BT Investment (Institutional) Limited therefore also has a relevant interest in shares in which BT Investment Management (Fund Services) Limited has a relevant interest.

#### 5. Changes in association

The persons who have become associates (2) of, or ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

#### 6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
BT Investment Management Limited (BTT)	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
BT Investment Management (Fund Services) Limited	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
BT Investment Management (Institutional) Limited	Level 14, Chifley Tower, 2 Chifley Square Sydney NSW 2000



## **DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant interests (e.g. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of “associate” in section 9 of the Corporations Act 2001.
- (3) See the definition of “relevant interest” in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person’s votes divided by the total votes in the body corporate scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of “relevant agreement” in section 9 of the Corporations Act 2001.

- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (e.g. if the relevant interest arises because of an option) write “unknown”.
- (9) Give details, if appropriate, of the present association and any changes in that association since the last substantial holding notice.

**ANNEXURE A**

This is annexure 'A' referred to in Form 604, Notice of change of interests of substantial holder, lodged for BT Investment Management Limited ACN 126 385 822

Joanne Hawkins – Company Secretary, BT Investment Management Limited 20/04/2018

**TRANSACTION HISTORY**

Sales & Purchases for the period : 29/08/2017 to 18/04/2018

SECURITY: AUB

**BT Investment Management (Fund Services) Limited**

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
30/08/2017	HSBC Custody Nominees (Australia) Limited.	Buy	1,300	16,393.00	Ordinary	1,300
5/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	2,587	33,165.34	Ordinary	2,587
8/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	544	6,974.08	Ordinary	544
11/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	921	11,807.22	Ordinary	921
12/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	2,513	32,216.66	Ordinary	2,513
13/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	1,965	25,191.30	Ordinary	1,965
15/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	7,453	95,398.40	Ordinary	7,453
7/02/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-30,137	-388,164.56	Ordinary	30,137
28/02/2018	HSBC Custody Nominees (Australia) Limited.	Buy	85,825	1,087,402.75	Ordinary	85,825
8/03/2018	HSBC Custody Nominees (Australia) Limited.	Buy	225,383	2,855,602.61	Ordinary	225,383
13/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-4,078	-55,990.94	Ordinary	4,078
16/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-565	-7,729.20	Ordinary	565
17/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-55	-751.85	Ordinary	55
18/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-1,510	-20,671.90	Ordinary	1,510
18/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-3,118	-42,685.42	Ordinary	3,118
18/04/2018	HSBC Custody Nominees (Australia) Limited.	Buy	53,210	735,894.30	Ordinary	53,210
	<b>Total</b>		<b>342,238</b>	<b>4,384,051.79</b>		
	<b>BT Investment Management (Fund Services) Limited Total :</b>		<b>342,238</b>	<b>4,384,051.79</b>		

**BT Investment Management (Institutional) Limited**

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
30/08/2017	Australia and New Zealand Banking Group Limited	Buy	13	163.93	Ordinary	13
5/09/2017	Australia and New Zealand Banking Group Limited	Buy	25	320.50	Ordinary	25
8/09/2017	Australia and New Zealand Banking Group Limited	Buy	5	64.10	Ordinary	5

11/09/2017	Australia and New Zealand Banking Group Limited	Buy	9	115.38	Ordinary	9
12/09/2017	Australia and New Zealand Banking Group Limited	Buy	25	320.50	Ordinary	25
13/09/2017	Australia and New Zealand Banking Group Limited	Buy	19	243.58	Ordinary	19
15/09/2017	Australia and New Zealand Banking Group Limited	Buy	73	934.40	Ordinary	73
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-238	-3,072.58	Ordinary	238
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-230	-2,969.30	Ordinary	230
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-1,156	-14,923.96	Ordinary	1,156
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-1,217	-15,711.47	Ordinary	1,217
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-238	-3,072.58	Ordinary	238
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-69	-890.79	Ordinary	69
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-170	-2,194.70	Ordinary	170
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-124	-1,600.84	Ordinary	124
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-1,208	-15,595.28	Ordinary	1,208
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-704	-9,088.64	Ordinary	704
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-675	-8,714.25	Ordinary	675
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-294	-3,795.54	Ordinary	294
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-483	-6,235.53	Ordinary	483
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-115	-1,484.65	Ordinary	115
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-200	-2,582.00	Ordinary	200
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-1,580	-20,397.80	Ordinary	1,580
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-977	-12,613.07	Ordinary	977
31/01/2018	Australia and New Zealand Banking Group Limited	Sell	-187	-2,414.17	Ordinary	187
28/02/2018	Australia and New Zealand Banking Group Limited	Buy	3,350	42,444.50	Ordinary	3,350
8/03/2018	Australia and New Zealand Banking Group Limited	Buy	7,810	98,952.70	Ordinary	7,810
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-18	-235.62	Ordinary	18
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-35	-458.15	Ordinary	35
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-179	-2,343.11	Ordinary	179
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-167	-2,186.03	Ordinary	167
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-22	-287.98	Ordinary	22
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-117	-1,531.53	Ordinary	117
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-136	-1,780.24	Ordinary	136
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-2,193	-28,706.37	Ordinary	2,193
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-530	-6,937.70	Ordinary	530
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-1,090	-14,268.10	Ordinary	1,090
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-574	-7,513.66	Ordinary	574
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-353	-4,620.77	Ordinary	353
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-216	-2,827.44	Ordinary	216
21/03/2018	Australia and New Zealand Banking Group Limited	Sell	-509	-6,662.81	Ordinary	509
	<b>Total</b>		<b>-4,675</b>	<b>-64,157.07</b>		

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
30/08/2017	HSBC Custody Nominees (Australia) Limited.	Buy	218	2,748.98	Ordinary	218
5/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	433	5,551.06	Ordinary	433
8/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	91	1,166.62	Ordinary	91
11/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	154	1,974.28	Ordinary	154
12/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	421	5,397.22	Ordinary	421
13/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	329	4,217.78	Ordinary	329
15/09/2017	HSBC Custody Nominees (Australia) Limited.	Buy	1,250	16,000.00	Ordinary	1,250
25/10/2017	HSBC Custody Nominees (Australia) Limited.	Sell	-737	-9,433.60	Ordinary	737
25/10/2017	HSBC Custody Nominees (Australia) Limited.	Sell	-1,111	-14,220.80	Ordinary	1,111
25/10/2017	HSBC Custody Nominees (Australia) Limited.	Sell	-4,319	-55,283.20	Ordinary	4,319
7/02/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-83	-1,069.04	Ordinary	83
7/02/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-3,387	-43,624.56	Ordinary	3,387
7/02/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-2,771	-35,690.48	Ordinary	2,771
28/02/2018	HSBC Custody Nominees (Australia) Limited.	Buy	17,010	215,516.70	Ordinary	17,010
8/03/2018	HSBC Custody Nominees (Australia) Limited.	Buy	35,960	455,613.20	Ordinary	35,960
13/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-985	-13,524.05	Ordinary	985
16/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-137	-1,874.16	Ordinary	137
17/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-13	-177.71	Ordinary	13
18/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-482	-6,598.58	Ordinary	482
18/04/2018	HSBC Custody Nominees (Australia) Limited.	Sell	-636	-8,706.84	Ordinary	636
18/04/2018	HSBC Custody Nominees (Australia) Limited.	Buy	7,522	104,029.26	Ordinary	7,522
	<b>Total</b>		<b>48,727</b>	<b>622,012.08</b>		

Date	Registered Company	Nature of Change	Quantity	Consideration	Class of Securities	Person's votes affected
30/08/2017	State Street Australia Limited	Buy	485	6,115.85	Ordinary	485
30/08/2017	State Street Australia Limited	Buy	241	3,039.01	Ordinary	241
5/09/2017	State Street Australia Limited	Buy	965	12,371.30	Ordinary	965
5/09/2017	State Street Australia Limited	Buy	480	6,153.60	Ordinary	480
8/09/2017	State Street Australia Limited	Buy	203	2,602.46	Ordinary	203
8/09/2017	State Street Australia Limited	Buy	101	1,294.82	Ordinary	101
11/09/2017	State Street Australia Limited	Buy	344	4,410.08	Ordinary	344
11/09/2017	State Street Australia Limited	Buy	171	2,192.22	Ordinary	171
12/09/2017	State Street Australia Limited	Buy	937	12,012.34	Ordinary	937
12/09/2017	State Street Australia Limited	Buy	466	5,974.12	Ordinary	466
13/09/2017	State Street Australia Limited	Buy	733	9,397.06	Ordinary	733
13/09/2017	State Street Australia Limited	Buy	365	4,679.30	Ordinary	365
15/09/2017	State Street Australia Limited	Buy	2,779	35,571.20	Ordinary	2,779
15/09/2017	State Street Australia Limited	Buy	1,382	17,689.60	Ordinary	1,382
31/01/2018	State Street Australia Limited	Buy	6,580	84,947.80	Ordinary	6,580



