



18<sup>th</sup> October 2018

The Manager  
Company Announcements  
Australian Securities Exchange Limited  
Level 6, Exchange Centre  
20 Bridge Street  
Sydney, NSW 2000

**FOR RELEASE TO THE MARKET**

Dear Sir / Madam,

**Re: AUB Group investigates financial misconduct**

Following preliminary findings of an internal investigation, AUB Group Limited (ASX:AUB) has identified financial misconduct by the Managing Director of Austbrokers Canberra Pty Ltd, one of its medium-sized, Australian broking entities.

Remedial action is being taken to address the misconduct and the relevant authorities, regulators and the affected client have been notified. The Group has engaged external advisers to assist in the ongoing internal investigation.

AUB Group does not believe the impact of the matter will be material. AUB Group will update the market of any material developments.

Yours faithfully,

A handwritten signature in blue ink, appearing to read 'Justin Coss'.

Justin Coss  
**Company Secretary**

For further information, contact:

Justin Coss or Howard Edelman  
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