

# Form 603

Corporations Act 2001

Section 671B

## Notice of initial substantial holder

To Company Name/Scheme AUB Group Ltd

ACN/ARSN ACN 000 000 715

### 1. Details of substantial holder (1)

Name Wellington Management Group LLP ("Wellington Management"), and its related bodies corporate

ACN/ARSN (if applicable) \_\_\_\_\_

The holder became a substantial holder on 12/03/20

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	3,733,727	3,733,727	5.06%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Wellington Management	investment/voting authority (see example agreement attached as Exhibit A) in respect of the ordinary shares issued and allotted pursuant to the Scheme of Arrangement approved by the supreme court of South Australia on 21 June 2002	3,733,727 Common Stock

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Wellington Management	Unknown	Wellington Management	3,733,727 Common Stock

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
See Exhibit B				

## 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

## 7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Wellington Management	c/o Wellington Management Company LLP, 280 Congress Street, Boston MA 02210, USA

## Signature

print name James Fricano capacity Authorized Person  
sign here \_\_\_\_\_ date 16/03/20

Exhibit A

Wellington Management Group LLP ("Wellington Management")  
Investment Management Agreement

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Appointment of  
Wellington Management  
as Manager/ Acceptance  
of Appointment

The Clients hereby appoint Wellington Management as investment manager to manage, supervise and direct the Clients' investment account or accounts (collectively, the "Account") under the terms and conditions set forth in the agreement. By execution of the Agreement, Wellington Management accepts appointment as investment manager and agrees to manage, supervise and direct the investments of the Account pursuant to the provisions of the Agreement.

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Discretionary Authority -  
Investments

Wellington Management shall have full and complete discretion to manage, supervise and direct the investment and reinvestment of assets in the Account and any additions thereto, subject to the investment objectives and guidelines attached to the Agreement. Wellington Management shall have full power and authority to act on behalf of the Account with respect to the purchase, sale, exchange, conversion or other transactions in any and all stocks, bonds, cash held for investment, and other assets, including shares of a registered investment company for which Wellington Management may act as investment adviser and, upon proper authorization by the Clients, interests in a collective trust maintained by a bank or trust company, including one maintained by an affiliate of Wellington Management. No cash or securities due to or held for the Account shall be paid or delivered to Wellington Management, except in payment of the management fee payable to Wellington Management under the Agreements pursuant to procedures approved by the Clients.

## Exhibit B

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Wellington Management	27/11/19	1,404,083 AUD		118,488 Common Stock
Wellington Management	10/12/19	769,143 AUD		67,766 Common Stock
Wellington Management	16/12/19	-107,100 AUD		-9,101 Common Stock
Wellington Management	24/12/19	56,892 AUD		4,849 Common Stock
Wellington Management	27/12/19	9,384 AUD		782 Common Stock
Wellington Management	30/12/19	19,736 AUD		1,638 Common Stock
Wellington Management	31/12/19	114,369 AUD		9,630 Common Stock
Wellington Management	02/01/20	39,475 AUD		3,282 Common Stock
Wellington Management	06/01/20	157 AUD		13 Common Stock
Wellington Management	08/01/20	95,390 AUD		7,917 Common Stock
Wellington Management	13/01/20	1,145,364 AUD		93,195 Common Stock
Wellington Management	28/01/20	120,281 AUD		10,129 Common Stock
Wellington Management	29/01/20	805,237 AUD		65,277 Common Stock
Wellington Management	30/01/20	6,874 AUD		550 Common Stock
Wellington Management	31/01/20	421,161 AUD		32,497 Common Stock
Wellington Management	03/02/20	1,648,800 AUD		124,238 Common Stock
Wellington Management	05/02/20	194,207 AUD		14,892 Common Stock
Wellington Management	06/02/20	124,139 AUD		9,645 Common Stock
Wellington Management	25/02/20	2,000,699 AUD		150,855 Common Stock
Wellington Management	10/03/20	3,982,446 AUD		306,000 Common Stock
Wellington Management	12/03/20	6,216,708 AUD		479,860 Common Stock